

09-25-00

A
Sequence
Listing

09/20/00
JC893 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity) <i>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</i>	Docket No.: 1010-US
	Express Mail Label No.: EL 591095790US

09/20/00
JC893 U.S. PTO
09/667859

**TO THE ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

NK CELL ACTIVATION INDUCING LIGAND (NAIL) DNA AND POLYPEPTIDES, AND USE THEREOF

and invented by:

**Marek Z. Kubin, residing at Bainbridge Island, Washington 98110 and
Raymond G. Goodwin, residing at Seattle, Washington 98119**

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: PCT/US99/06215

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification including claims and abstract (81 pages total)
3. ☒ Drawings; Number of Sheets 13
4. ☒ Oath or Declaration
 - a. ☒ Newly executed
 - b. ☐ Copy from a prior application (37.C.F.R. 1.63(d)) (*for continuation/divisional application only*)
 - c. ☐ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Paper copy
☐ Pages _____ - _____ of specification
☒ Separately numbered pages 1 - 14
 - b. ☒ Computer Readable Copy
 - c. ☒ Statement Verifying Identical Paper and Computer Readable Copy
 - d. ☐ Statement under 37 C.F.R. 1.821(e) in lieu of Computer Readable Copy

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UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)**Docket No.: 1010-US****Accompanying Application Parts**

8. ☒ Assignment
- a. ☒ Copy of original executed Assignment
- b. ☐ Prior application is assigned of record to Immunex Corporation
(reel _____ frame _____)
9. ☒ 37 C.F.R. 3.73(B) Statement (*when there is an assignee*) and Power of Attorney
10. ☒ Preliminary Amendment
11. ☒ Acknowledgment postcard
12. ☒ Certificate of Mailing by Express Mail (Label No.: EL 591095790US)
13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. ☒ Additional Enclosures (*please identify below*):

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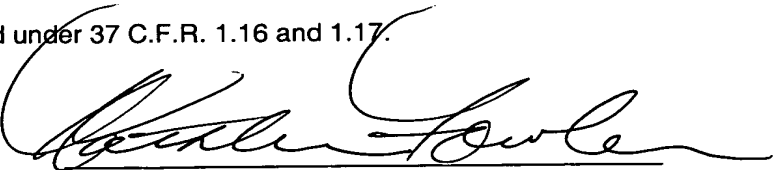
Fee Calculation and Transmittal

CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	36	20	16	x \$18.00	\$ 288.00
Indep. Claims	20	3	17	x \$78.00	1,326.00
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					260.00
BASIC FEE					690.00
OTHER FEE (<i>specify purpose</i>) Assignment Recordation					40.00
TOTAL FILING FEE					\$2,604.00

- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below. A copy of this sheet is enclosed.

- ☒ Charge the amount of \$2,604 as a filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 C.F.R. 1.16 and 1.17.

Correspondence address:
Immunex Corporation
Law Department
51 University Street
Seattle, Washington 98101
Telephone: (206) 587-0430
KF:em


Kathleen Fowler
Registration No. 40,611

Dated: September 20, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Docket No.: 1010-US

Marek Z. Kubin and Raymond G. Goodwin

Filing Date: September 20, 2000

For: NK CELL ACTIVATION INDUCING LIGAND (NAIL) DNA AND
POLYPEPTIDES, AND USE THEREOF

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL LABEL NUMBER: EL 591095790US

I hereby certify that the following correspondence is enclosed and is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Postcard
Transmittal Letter (2 pages + copy)
Preliminary Amendment (6 pages)
Specification, claims and abstract (81 pgs.) (copy of the original)
13 Sheets of Drawings (figures 1 – 7B) (copy of original)
Statement regarding Sequence Listing
Diskette containing Sequence Listing
Paper copy of Sequence Listing (12 pgs)
Declaration of Inventors
Statement Under 37 CFR 3.73(b) by Assignee with copy of Assignment
Form PTO-1595
Assignment
Assignment Acknowledgement Postcard

Signed:

Elizabeth M. McCarthy
Elizabeth M. McCarthy

Date:

September 20, 2000